



# Lake Tahoe Wealth Management, Inc.

## Privacy Policy Notice

Lake Tahoe Wealth Management, Inc. has adopted this privacy policy with recognition that protecting the privacy and security of the personal information we obtain about our clients is an important responsibility. We also know that you expect us to service you in an accurate and efficient manner. To do so, we must collect and maintain certain personal information about you. We want you to know what information we collect and how we use and safeguard that information.

**Information We Collect:** We collect certain non-public information about you ("Client Information"). The essential purpose for collecting Client Information is to allow us to provide advisory services to you. Client Information we collect may include:

- Information that you provide on applications or other forms. This Client Information may include personal and household information such as income, spending habits, investment objectives, financial goals, statements of account, and other records concerning your financial condition and assets, together with information concerning employee benefits and retirement plan interests, wills, trusts, mortgages and tax returns.
- Identifying information such as your name, age, address, social security number, etc.
- Information about your transactions with us, or others (e.g., broker-dealers, clearing firms, or other chosen investment sponsors).
- Information we receive from consumer reporting agencies (e.g., credit bureaus), as well as other various materials we may use to provide an appropriate recommendation or to fill a service request.

**Security of Your Information:** We restrict access to your non-public personal information to those employees who need to know that information to service your account. We maintain physical, electronic and procedural safeguards that comply with applicable federal or state standards to protect your non-public personal information.

**Information We Disclose:** We do not disclose the non-public personal information we collect about our clients to anyone except: (i) in furtherance of our business relationship with them and then only to those persons necessary to effect the transactions and provide the authorized services (such as broker-dealers, custodians, independent managers etc.); (ii) to persons assessing our compliance with industry standards (e.g., professional licensing authorities, consultants, etc.); (iii) our attorneys, accountants, and auditors; or (iv) as otherwise provided by law.

We are permitted by law to disclose the non-public personal information about you to governmental agencies and other third parties in certain circumstances (such as third parties that perform administrative or marketing services on our behalf or for joint marketing programs). These third parties are prohibited to use or share the information for any other purpose.



**Information we may share with our Affiliate:** We may share your personal information with our affiliate for the purpose of providing you the contracted services as delineated in the advisory agreement you have signed with our firm. We do not otherwise disclose your non-public personal information to any other party except where required or exempt by law. Information that we disclose to our affiliate may include:

- Your name, address, phone number, social security number, and e-mail address;
- Income assets, policies, and accounts with others;
- Tax Returns, wills and trusts;
- Information about transactions or experiences with us or other financial institutions; and
- Medical or health data as permitted or required by law.

We may use your data in order to:

- Process transactions or claims;
- Respond to your requests;
- Determine eligibility for coverage;
- Prevent fraud;
- Comply with regulatory requirements; and
- Share related products and services we offer.

**Former Clients:** If you decide to close your account(s) or become an inactive client, we will adhere to our privacy policies, which may be amended from time to time.

**Changes to Our Privacy Policy:** In the event there were to be a material change to our privacy policy regarding how we use your confidential information, we will provide written notice to you.

If you do not want us to share your personal information with our affiliate, you have the right to "**opt-out**" by providing notice to our firm. You may call, email, or send written correspondence to our firm and upon receipt, we will immediately act on your instructions. You may reach us at:

Email	richard@laketahoewealthmanagement.com
Phone	855-515-5896
Fax	877-810-5027
Mailing Address	PO Box 366, Zephyr Cove, NV 89448

**Questions:** If you have questions about this privacy notice or about the privacy of your client information, please call our main number 855-515-5896 and ask to speak to the Chief Compliance Officer.